

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Sankar Ram Sundaresan et al. § Group Art Unit: Unassigned  
§  
Serial No.: Unassigned § Examiner: Unassigned  
§  
Filed: September 17, 2003 §  
§  
For: METHOD AND APPARATUS FOR § Atty Docket: 200208015-1  
TRANSACTION TRACKING IN A  
WEB PRESENTATION  
ARCHITECTURE §  
§

**Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313**

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| EXPRESS MAIL MAILING LABEL   |  |
| NUMBER:  | EL 982 235 923 US  |
| DATE OF DEPOSIT:   | October 1, 2003  |
| <i>Pursuant to 37 C.F.R. § 1.10, I hereby certify that I am personally depositing this paper or fee with the U.S. Postal Service, "Express Mail Post Office to Addressee" service on the date indicated above in a sealed envelope (a) having the above-numbered Express Mail label and sufficient postage affixed, and (b) addressed to the Commissioner for Patents, Alexandria, VA 22313.</i> |  |
| October 1, 2003<br>Date  | <br>Helen Tinsley |

Dear Sirs:

**ADDENDUM TO INFORMATION DISCLOSURE STATEMENT**

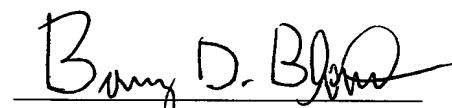
The attached “Information Disclosure Statement” indicates that Applicants have submitted copies of patents, publications, and other information. However, the Patent Office has recently notified applicants that:

The Office hereby waives the requirement under 37 C.F.R. 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 U.S.C. § 371 after June 30, 2003.

Accordingly, Applicants have not submitted copies of any U.S. Patents or any U.S. Patent Application Publications cited on the attached form PTO-1449.

Respectfully submitted,

Date: September 17, 2003



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IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Sankar Ram Sundaresan et al.

Confirmation No.:

Application No.:

Examiner:

Filing Date: Herewith

Group Art Unit:

Title: METHOD AND APPARATUS FOR TRANSACTION TRACKING IN A WEB PRESENTATION ARCHITECTURE

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- under 37 CFR 1.97(c) together with either a:  
 Statement under 37 CFR 1.97(e), or  
 a \$180.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- under 37 CFR 1.97 (d) together with a:  
 Statement under 37 CFR 1.97(e)(1) or (2), and  
 a \$180.00 fee set forth in 37 CFR 1.17(p).  
(Filed after final action, a notice of allowance, on or before payment of the issue fee)

Please charge to Deposit Account **08-2025** the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **08-2025** pursuant to 37 CFR 1.25.

Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

"Express Mail" label no. **EL 982 235 923 US**

Date of Deposit **October 1, 2003**

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, Alexandria, VA 22313-1450.

By Helen Tinsley  
Typed Name: Helen Tinsley

Respectfully submitted,

**Sankar Ram Sundaresan et al.**

By Barry D. Blount  
Barry D. Blount  
Attorney/Agent for Applicant(s)  
Reg. No. 35,069

Date: **October 1, 2003**

## PATENT APPLICATION

Sheet 1 of 2

|   |  |  |                 |                  |
|---|--|--|-----------------|------------------|
| FORM PTO-1449   |  | ATTY. DOCKET NO.<br><b>200208015-1</b>           | APPLICATION NO. | CONFIRMATION NO. |
| LIST OF PATENTS AND PUBLICATIONS FOR<br>APPLICANT'S INFORMATION DISCLOSURE<br>STATEMENT |  | APPLICANT<br><b>Sankar Ram Sundaresan et al.</b> |                 |                  |
| (Use several sheets if necessary)   |  | FILING DATE<br><b>Herewith</b>                   | GROUP           |                  |

## REFERENCE DESIGNATION      U.S. PATENT DOCUMENTS

| EXAMINER INITIAL |    | DOCUMENT NUMBER | PUBLICATION DATE | NAME               | Pages, Columns, Lines Where Relevant Passages or Figures Appear |
|------------------|----|-----------------|------------------|--------------------|---|
|                  | 1A | 6,606,708       | 08-12-03         | Devine et al.      |   |
|                  | 1B | 6,536,037       | 03-18-03         | Guheen et al.      |   |
|                  | 1C | 6,529,936       | 03-04-03         | Mayo et al.        |   |
|                  | 1D | 6,517,587       | 02-11-03         | Satyavolu et al.   |   |
|                  | 1E | 6,505,343       | 01-07-03         | Menon et al.       |   |
|                  | 1F | 6,430,688       | 08-06-02         | Kohl et al.        |   |
|                  | 1G | 6,397,245 B1    | 05-28-02         | Johnson, II et al. |   |
|                  | 1H | 6,380,959       | 04-30-02         | Wang et al.        |   |
|                  | 1I | 6,345,292       | 02-05-02         | Daugherty et al.   |   |
|                  | 1J | 6,332,161       | 12-18-01         | Sasson             |   |
|                  | 1K | 6,278,456       | 08-21-01         | Wang et al.        |   |

## FOREIGN PATENT DOCUMENTS

|  |    | DOCUMENT NUMBER | PUBLICATION DATE | NAME OF PATENTEE OR APPLICANT | Pages/Columns/Lines Where Relevant Passages/Figures Appear | Check if Translation attached |
|--|----|-----------------|------------------|-------------------------------|--|-------------------------------|
|  | 1L |                 |                  |                               |  |                               |
|  | 1M |                 |                  |                               |  |                               |
|  | 1N |                 |                  |                               |  |                               |
|  | 1O |                 |                  |                               |  |                               |
|  | 1P |                 |                  |                               |  |                               |

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

|    |  |
|----|--|
| 1Q | Sunny K. Yee et al., entitled Method and Apparatus for Supporting Object Caching in a Web Present Application, U.S. Serial No. 10/652,388, patent application filed in the USPTO 08/29/2003.                             |
| 1R | Brian James DeHamer et al., entitled Web Presentation Architecture that Supports Page Navigation Management, U.S. Serial No. 10/652,988, patent application filed in the USPTO 08/29/2003.                               |
| 1S | Brian James DeHamer et al., entitled Method and Apparatus for Supporting XML-Based Service Consumption in a Web Presentation Architecture, U.S. Serial No. 10/652,469, patent application filed in the USPTO 08/29/2003. |

EXAMINER

DATE CONSIDERED

## PATENT APPLICATION

Sheet 2 of 2

|   |                                |                                     |                  |
|---|--------------------------------|-------------------------------------|------------------|
| <b>FORM PTO-1449</b><br><br><b>LIST OF PATENTS AND PUBLICATIONS FOR<br/>APPLICANT'S INFORMATION DISCLOSURE<br/>STATEMENT</b><br><br>(Use several sheets if necessary) | ATTY. DOCKET NO.               | APPLICATION NO.                     | CONFIRMATION NO. |
|   | <b>200208015-1</b>             |                                     |                  |
|   | APPLICANT                      | <b>Sankar Ram Sundar san et al.</b> |                  |
|   | FILING DATE<br><b>Herewith</b> | GROUP                               |                  |

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | PUBLICATION DATE | NAME           | Pages, Columns, Lines Where Relevant Passages or Figures Appear |
|------------------|-----------------|------------------|----------------|---|
| 2A               | 6,151,599       | 11-21-00         | Shrader et al. |   |
| 2B               | 6,018,343       | 01-25-00         | Wang et al.    |   |
| 2C               |                 |                  |                |   |
| 2D               |                 |                  |                |   |
| 2E               |                 |                  |                |   |
| 2F               |                 |                  |                |   |
| 2G               |                 |                  |                |   |
| 2H               |                 |                  |                |   |
| 2I               |                 |                  |                |   |
| 2J               |                 |                  |                |   |
| 2K               |                 |                  |                |   |

## FOREIGN PATENT DOCUMENTS

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|----|-----------------|------------------|-------------------------------|--|-------------------------------|
| 2L |                 |                  |                               |  |                               |
| 2M |                 |                  |                               |  |                               |
| 2N |                 |                  |                               |  |                               |
| 2O |                 |                  |                               |  |                               |
| 2P |                 |                  |                               |  |                               |

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

|    |  |
|----|--|
| 2Q |  |
| 2R |  |
| 2S |  |

EXAMINER

DATE CONSIDERED